

Guiding Note on Use of Index Information Supplied by China Securities Index Company Limited (for Real-time Licensed Information Vendors)

PURPOSE

Pursuant to clause 10.6 of the Market Data Vendor Licence Agreement, HKEX-IS shall notify the vendors on the requirement on the use of the Third Party Content. This guiding notes sets out the requirement on the use of index information supplied by China Securities Index Company Limited (“CSIC”) which is being carried through the information systems of HKEX Information Services Limited (“HKEX-IS”).

DETAILS

CSIC has granted a license to HKEX-IS to provide to real-time securities market data services vendors the indexes listed in Schedule 1 to this note (“Indexes”, and the term “Index Information” shall mean information relating to any of the Indexes).

The Index Information is provided to vendors on the terms and conditions of the Market Data Vendor Licence Agreement, unless otherwise provided in this note, and vendors are deemed to have accepted these terms and conditions by their taking delivery and use of such Index Information. If there are any inconsistencies between the Market Data Vendor Licence Agreement and this note, the provisions of this note shall prevail. Accordingly, vendors shall be bound to acknowledge and observe the following terms and conditions notwithstanding any provisions to the contrary in the Market Data Vendor Licence Agreement:

1. CSIC is the proprietor and owner of all the rights, title, goodwill and interest in the Indexes and the names and marks used in connection with the Indexes and that vendors by receiving the Index Information from HKEX-IS is deemed to have acknowledged such rights and to have agreed to comply with the stipulations and obligations below and not to do any act inconsistent with such rights.
2. The Indexes are classified into two categories, namely Redistributable Indexes and Non-redistributable Indexes as listed in Schedule 1 of this note, and the terms Redistributable Index Information and Non-redistributable Index Information shall be construed accordingly. Vendors shall not use or disseminate the Index Information except as set forth below.

Redistributable Index Information

- 2.1 Vendors are permitted to use the Redistributable Index Information received from HKEX-IS (directly or indirectly) for their internal use and to disseminate such information at no charge to their Subscribers for their internal use only and not for further dissemination.

Non-redistributable Index Information

- 2.2 Non-redistributable Index Information is, on the contrary, disseminated to vendors solely for their internal use. Vendors must not further disseminate all or part of the Non-redistributable Index Information to their Subscribers or any other persons (whether or not for remuneration) unless vendors have obtained the prior written approval of, or have entered into a license agreement with, CSIC or its designated agent. The designated agent of CSIC may change from time to time subject to CSIC’s appointment.
3. HKEX-IS and CSIC do not guarantee the accuracy and/or completeness of the Index Information, nor do they give any express or implied warranties with respect to the Index Information.

4. Neither HKEX-IS nor shall CSIC be liable for any damages, loss, costs and expenses caused by any error, omission, negligence or delay in compiling or disseminating the Index Information.
5. The Index Information may be amended from time to time and HKEX-IS may cease providing all or any of the Index Information at any time by giving 30 days' notice or, if required to do so by reasons outside its control or if not practicable to do so, such shorter notice period (including no prior notice) as HKEX-IS may in its absolute discretion determine.

Should you have any queries, please send your questions to MarketData@hkex.com.hk.

Schedule 1

The Indexes are classified into two categories, namely Redistributable Indexes and Non-redistributable Indexes as listed below:

i. CSI Indexes

Redistributable Indexes

1. CSI Hong Kong 100 Index (中証香港 100 指數)
2. **CSI Cross-Straits 500 Index (中証兩岸三地 500 指數) – to be removed after 30 November 2018**
3. CSI China Mainland Consumer Index (中証內地消費指數)
4. CSI Hong Kong Private-owned Mainland Enterprises Index (中証香港內地民營企業指數)
5. CSI Hong Kong State-owned Mainland Enterprises Index (中証香港內地國有企業指數)
6. CSI Hong Kong Listed Tradable Mainland Real Estate Index (中証香港上市可交易內地地產指數)
7. CSI Hong Kong Listed Tradable Mainland Consumption Index (中証香港上市可交易內地消費指數)
8. CSI Hong Kong Dividend Index (中証香港紅利港幣指數)
9. CSI RAFI Hong Kong 50 Index (中証銳聯香港基本面 50 港幣指數)
10. CSI Hong Kong Middle Cap Select Index (中証香港中盤精選港幣指數)
11. CSI Hong Kong Mainland Enterprises 50 Index (中証香港內地股港元 50 指數)

Non-redistributable Indexes

1. CSI 300 Index (滬深 300 指數)

ii. SSE Indexes

Redistributable Indexes

1. SSE 180 Index (上証 180 指數)
2. SSE 380 Index (上証 380 指數)
3. SSE Dividend Index (上証紅利指數)
4. SSE Mid Cap Index (上証中盤指數)
5. SSE 180 Governance Index (上証 180 公司治理指數)
6. SSE Mega-cap Index (上証超級大盤指數)
7. SSE Industry Top index (上証龍頭企業指數)
8. SSE Commodity Equity Index (上証大宗商品股票指數)

Non-redistributable Indexes

1. SSE Composite Index (上証綜合指數)
2. SSE 50 Index (上証 50 指數)

iii. CESC Indexes

Redistributable Indexes

1. CES China 120 Index (中華交易服務中國 120 指數)
2. CES China A80 Index (中華交易服務中國 A80 指數)
3. CES China HK Mainland Index (中華交易服務中國香港內地指數)
4. CES China 280 Index (中華交易服務中國 280 指數)
5. CES Stock Connect 300 Index (中華交易服務滬深港 300 指數)
6. CES Stock Connect Hong Kong Select 100 Index (中華交易服務港股通精選 100 指數)
7. CES Gaming Top 10 Index (CES G10) (中華交易服務博彩業指數)
8. CES Stock Connect Hong Kong Premier 50 Index (中華港股通優選 50 指數)
9. **CES HK Biotechnology Index (中華交易服務香港生物科技指數) – effective 3 December 2018**

The designated agent of China Securities Index Company Limited is China Investment Information Services Limited.